SEP 2 3 2004

IN

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031

Customer Number: 23910

Reg. No.: 46,554

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE on Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/685,134 TRANSMITTAL Filing Date 10/14/2003 **FORM** First Named Inventor James F. Zucherman Art Unit (to be used for all correspondence after initial filing) 3731 **Examiner Name** Jessica R. Baxter Attorney Docket Number 11 KLYCD-05009US1 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC ~ Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53

CERTIFICATE OF TRANSMISSION/MAILING		
	orrespondence is being facsimile transmitted to the USPTO or dep class mail in an envelope addressed to: Commissioner for Patent	
Typed or printed name	Suvashis Bhattacharya	
Signature	S. Bhata	Date 9/28/64

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Attorney Docket No.: KLYCD-05009US1 sbhattacharya/klycd/5009us1/5009us1.trans.pdf

Suvashis Bhattacharva

Firm

Date

Individual name

Signature

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

promphication

Inventor(s): James F. Zucherman, et al.

Appl. No.: 10/685,134

Confirm. No.: 4485

Filed:

October 14, 2003

Title:

TOOLS FOR IMPLANTING AN ARTIFICIAL

VERTEBRAL DISK AND METHOD

PATENT APPLICATION

Art Unit:

3731

Examiner:

Jessica R. Baxter

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P7O. Box, 1450, Alexandria, VA 22313-1450, on

September 20, 2004

(Attorney Signature)

Suvashis Bhattacharya, Reg. No. 46,554 Signature Date: September 20, 2004

## RESPONSE A TO OFFICE ACTION UNDER 37 C.F.R. § 1.111

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This RESPONSE A is in reply to the Office action mailed August 23, 2004.

## **Amendments**

Please amend the above-identified application as follows: